

KONAMI
Forcise V1.3.9 Build 2.0.0.230 Cashless Wagering System
Suggested Trial Procedures

Meter Readings

Each drop day accounting or auditing personnel shall:

1. For 25% of each IGT device platform and 100% of all other device platforms on-line with the Konami cashless wagering system, manually read and record the "vouchers in" meter and the "vouchers out" meter. The actual machine soft meter must be read, use of a key is required.
2. Trace the change in the "vouchers out" meter, by machine, to the Ticket Sequence Report.
3. Trace the change in the "vouchers in" meter, by machine, to the Tickets Redeemed at Machine Report. A spreadsheet must be created for each of the above reconciliations. Additional spreadsheets summarizing the variances noted in the reconciliations must also be created. See Attachments A and B for suggested spreadsheet formats.

NOTE: The meter readings must be performed at a time that will minimize any timing difference between the manual reading and the system-generated reports. All variances which do not wash due to timing must be investigated and documented for Board review.

Tickets and Reports

Each day accounting or auditing personnel shall:

1. For each cashier, foot the validated jackpot/cashout tickets and trace to the Final Cash Ticket Report (Paid).
2. Trace 5% of the validated jackpot/cashout tickets to the Final Cash Ticket Report (Paid) to verify that the status and detail ticket information is correct.
3. Examine 5% of all jackpot/cashout tickets for completeness and regulation compliance.
4. Review all jackpot/cashout tickets on the Ticket Sequence Report for continuous sequencing by machine. Documented follow-up is to be performed if any sequence errors are found.
5. Review the Tickets Unredeemed Report and the Tickets (Aging) Report for the proper handling of unredeemed tickets.

6. Review the Ticket Drop Variance Report for timing differences and any other variances. All variances should be investigated with the results documented and retained for Board review.
7. Review any adjustments on the Drop Adjustment Report for propriety and trace the Final Drop amount to the Soft Count Verification Report. This must be performed by an individual independent of the transaction.
8. When tickets begin expiring, examine the Tickets (Expired) Report to ensure that the date of the ticket falls within the configured time limitations. The maximum allowable limitation is sixty days.
9. Once tickets are purged, review the Tickets (Purged) Report to ensure that only those tickets which have expired have been purged, i.e. all tickets purged are older than the configured expiration period.

Soft Count

1. The count of the tickets must be performed in the count room in compliance with currency acceptor drop and count standards.
2. Manually count the number of tickets removed from the bill validator drop box for 20% of the slot machines on-line with the Konami Cashless wagering system.
3. Trace totals from the manual count, by machine, to the Cummins Batch Report. Document any variances for accounting review.

Slot Accounting Reports

1. Ensure that the total dollar value of the ticket drop from the Soft Count Verification Report is included in Cash/Tkt Drop on the Active Detail Report.
2. Ensure that the total dollar value of tickets issued, by machine, from the Ticket Sequence Report is included in the Payouts column on the Active Detail Report.
3. On a sample basis foot the system generated reports to verify the clerical accuracy of the reports.
4. On a sample basis verify the clerical accuracy of the actual hold percentage and the floor par percentage computation for the period-to-date, month-to-date, and year-to-date period by individual machine on the Active Detail Report.

General

1. Slot department employees should ensure that all patrons utilizing the Konami cashless wagering system fully understand its operation. The posting of detailed instructions is recommended.
2. Tickets that are redeemed by employees must be validated through the Konami system prior to payment being made to the customer.

NOTE: An employee may not verify the validity of a ticket by inserting it into a slot machine bill validator.

3. Maintain a log of system problems occurring during the trial period (i.e., any problems relating to the functioning of the system, incorrect calculations, etc.). This log is to include, at a minimum, the date, description of the problem and the initials of the person recording the information.
4. Maintain a log of customer complaints, if any, regarding the Konami cashless wagering system including the date, time, description of the complaint and the names of the individuals involved.
5. The Konami cashless wagering system components (i.e., ethernet switch, middleware servers and database server) must be installed in a secured location with access permitted to authorized personnel only (i.e., controller, casino manager, slot manager) in accordance with Information Technology MICS #1.
6. All jackpot/cashout tickets must be posted to the slot statistical analysis report during the drop period in which the tickets are issued by the slot machine whether or not redeemed by the patron.
7. Pursuant to Regulation 6.110(11), wagering credit cashout to vouchers must be reported on the NGC tax reports on an accrual basis (deduct all vouchers printed). Any wagering vouchers that remain unredeemed after 60 days must be added to gross gaming revenue at that time.
8. Accounting shall maintain all cashout tickets, computer-generated reports, and computer storage media in accordance with Regulation 6.040(1). Also maintain documentation evidencing the performance of all trial procedures (logs, checklists, calculator tapes, etc.).
9. Perform any other procedures necessary to ensure that employees are complying with documented internal control procedures and NGC regulations.